

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

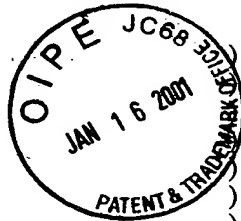
In re Patent Application of

J. Thomas NGO et al

Application No.: 09/414,514

Filed: October 8, 1999

For: SYSTEM AND METHOD FOR THE  
BROADCAST DISSEMINATION OF  
TIME-ORDERED DATA



Group Art Unit: 2711

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.


- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Alexandria, Virginia 22313-1404  
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By:   
James A. LaBarre  
Registration No. 28,632

Date: January 16, 2001

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#3

2-8-01gc  
Patent

Attorney's Docket No. 013155-065

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

J. Thomas NGO et al

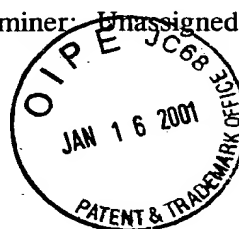
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For: **SYSTEM AND METHOD FOR THE  
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) Group Art Unit: 2711

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) Examiner: Unassigned



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited below is enclosed.

**Other Publications:**

Carter, Steven W. et al, "Video-on-Demand Broadcasting Protocols", pps. 1-25.

Pâris, Jehan-Francois et al, "A Low Bandwidth Broadcasting Protocol for Video on Demand", IC3N '98, pp 640-7, October 1998.

To assist the Examiner, the references are listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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James A. LaBarre  
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PTO-1449

ATTORNEY'S DKT NO.  
013155-065

APPLICATION No..  
09/414,514

**APPLICANT**  
J. Thomas NGO et al

**FILING DATE**  
**October 8, 1999**

GROUP  
2711

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Carter, Steven W. et al, " <i>Video-on-Demand Broadcasting Protocols</i> ", pps. 1-25.
	Pâris, Jehan-Francois et al, " <i>A Low Bandwidth Broadcasting Protocol for Video on Demand</i> ", IC3N '98, pp 640-7, October 1998.
EXAMINER	DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.